

##### GE-AD-07a Reporting Potential Breach of Code of Conduct

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| **Items** | **Details** |
| Date of the event |  |
| Name of party/s involved |  |
| Name of other/s affected |  |
| Details of the allegations,  Describe the details of the event/s |  |
| The principle or code of research conduct that was breached (tick one that apply) | **□ Not meeting research standards**   * Conducting research without ethics approval as required by the National Statement on Ethical Conduct in Human Research and the Australian Code for the Care and Use of Animals for Scientific Purposes * Failing to conduct research as approved by an appropriate ethics review body * Conducting research without the requisite approvals, permits or licences * Misuse of research funds * Concealment or facilitation of breaches (or potential breaches) of the Code by others   **□ Fabrication, falsification, misrepresentation**   * Fabrication of research data or source material * Falsification of research data or source material * Misrepresentation of research data or source material * Falsification and/or misrepresentation to obtain funding   **□ Plagiarism**   * Plagiarism of someone else’s work, including theories, concepts, research data and source material * Duplicate publication (also known as redundant or multiple publication, or self-plagiarism) without acknowledgment of the source   **□ Research data management**   * Failure to appropriately maintain research records * Inappropriate destruction of research records, research data and/or source material * Inappropriate disclosure of, or access to, research records, research data and/or source material   **□ Supervision**   * Failure to provide adequate guidance or mentorship on responsible research conduct to researchers or research trainees under their supervision   **□ Authorship**   * Failure to acknowledge the contributions of others fairly * Misleading ascription of authorship including failing to offer authorship to those who qualify or awarding authorship to those who do not meet the requirements   **□ Conflicts of interest**   * Failure to disclose and manage conflicts of interest   **□ Peer review**   * Failure to conduct peer review responsibly   **□ Other**  Please specify \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| Name of the complainant / third party if complainant wish to remain anonymous |  |
| Signature of the complainant / third party if complainant wish to remain anonymous | **Signature:**  **Date:** |

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| --- | --- |
| Date received |  |
| Name and designation of person receiving the complaint | Name:  Designation: |
| Signature of person receiving the complaint |  |
| Form completed by | Complainant  Third party  Person receiving the complaint  Verified by the complainant or third party |
| Review by |  |
| Outcome | Dismissed  Proceed |